Atty. Docket No. CHM-013M1
Serial No.: 10/625,934

IFW

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In the Application of :

    Kenneth D.R. SETCHELL et al. : Confirmation No.: 9470

Serial No.: 10/625,934 : Art Unit: 1614

Filing Date: July 24, 2003 :

For: **Compositions and Products Containing S-Equol, and
Methods for Their Making**

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

    In accordance with 37 CFR 1.56, 1.97, and 1.98, Applicants request the
Examiner to make of record the documents listed on the attached PTO/SB/08 form. In
accordance with MPEP 609, the Examiner is requested to initial each document listed on
the attached PTO/SB/08 form in the space provided, make a copy of the initialed form,
and return the copy to Applicants' attorney.

    The documents listed on the attached PTO/SB/08 form came to Applicants'
attorney's attention as a result of a PCT International Search Report (copy enclosed) for
the PCT patent application corresponding to the above application.

    This submission does not represent that a search has been made or that no better
art exists and does not constitute an admission that each or all of the listed documents are
material or constitute "prior art." If the Examiner applies any of the documents as prior
art against any claim in the application and Applicants determine that the cited
documents do not constitute "prior art" under United States law, Applicants reserve the

1

right to present to the office the relevant facts and law regarding the appropriate status of such documents.
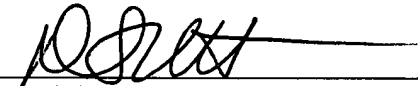
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

This paper and the enclosed documents are being submitted in accordance with 37 CFR 1.97(b), i.e., within three (3) months of the application filing date or prior to a first Office Action on the merits. Therefore, no fee is believed to be due.

Respectfully submitted,
For: Kenneth D.R. SETCHELL, et al.

By:

Daniel F. Nesbitt
Attorney for Applicant
Registration No. 33,746
(513) 229-0383
Customer Number 26868

September 15, 2004

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

	Complete if Known
Application Number	10/625,934
Filing Date	07/24/2003
First Named Inventor	Kenneth D.R. Setchell, et al.
Art Unit	1614
Examiner Name	
Attorney Docket Number	CHM-013M1

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.¹	Document Number — Number - Kind Code² (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-	6,194,450B1	02/27/2001	Charpentier et al.	
		US-	6,716,424	04/06/2004	Uchiyama et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.¹	Foreign Patent Document — Country Code³-Number⁴-Kind Code⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁶

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s) volume-issue number(s), publisher, city and/or country where published.	T²

Examiner Signature		Date Considered	